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PTO/SB/21 (08-00)
Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | | |
|---|----------------------|---------------------|--------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/392,869 | |
| | Filing Date | September 9, 1999 | |
| | First Named Inventor | Steven P. NOLAN | |
| | Group Art Unit | 1621 | |
| | Examiner Name | P. Nazario-Gonzalez | |
| Total Number Of Pages In This Submission | 35 | Attorney Docket No. | 515622000100 |

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| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form + duplicate for fee processing - 2 pages | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment / Reply - 31 pages | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request - 1 page | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1. Return Receipt Postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | |
|---|---|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 |
| Signature | Philip D. Reilly, Reg. No. 41,415 |
| Date | January 6, 2003 |

| CERTIFICATE OF MAILING BY "EXPRESS MAIL" | |
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| Express Mail Label No.: EL 569251072 US | Date of Deposit: January 6, 2003 |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. | |
| Tamara Alcaraz | |

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FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/392,869
Filing Date September 9, 1999
First Named Inventor Steven P. NOLAN
Examiner Name P. Nazario-Gonzalez
Group Art Unit 1621
Attorney Docket No. 515622000100

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TOTAL AMOUNT OF PAYMENT

(\$1,848.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952
Deposit Account Name Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001 | 750 | 201 | 375 | Utility filing fee | |
| 1002 | 330 | 206 | 165 | Design filing fee | |
| 1003 | 520 | 207 | 260 | Plant filing fee | |
| 1004 | 750 | 208 | 375 | Reissue filing fee | |
| 1005 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$0

2. EXTRA CLAIM FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|---|----------|
| 1202 | 18 | 203 | 9 | Claims in excess of 20 | |
| 1201 | 84 | 202 | 42 | Independent claims in excess of 3 | |
| 1203 | 280 | 204 | 140 | Multiple dependent claims, if not paid | |
| 1204 | 84 | 209 | 42 | **Reissue independent claims over original patent | |
| 1205 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$918.00

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 139 | 130 | Non-English specification | |
| 1812 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 215 | 55 | Extension for reply within first month | |
| 1252 | 410 | 216 | 205 | Extension for reply within second month | |
| 1253 | 930 | 217 | 465 | Extension for reply within third month | 930 |
| 1254 | 1,450 | 218 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 228 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 219 | 160 | Notice of Appeal | |
| 1402 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 221 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 1501 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 1502 | 460 | 243 | 230 | Design issue fee | |
| 1503 | 620 | 244 | 310 | Plant issue fee | |
| 1460 | 130 | 122 | 130 | Petitions of the Commissioner | |
| 1807 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 1806 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | |
| 1809 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$930.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Philip D. Reilly
Registration No. (Attorney/Agent) 41,415
Telephone (650) 813-5980
Signature [Signature]
Date January 6, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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